

# Administration of the Child Care Assistance Program

## 2026-2027 Meeker County and Tribal Child Care Fund Plan

### Administration of the Child Care Assistance Program

**Background:** Counties and Tribes must submit a biennial Child Care Fund Plan. Child Care Assistance Program rules and laws allow counties and Tribes to establish some local policies and procedures. These local policies and procedures, when included in this plan and approved by the commissioner, are considered county/Tribal policy and are used to support agency decisions during appeals. The Department of Children, Youth, and Families (DCYF) will review and approve County and Tribal Child Care Fund Plans. Counties and Tribes will receive approval letters for their Child Care Fund Plans from the commissioner. This plan period begins on January 1, 2026.

**Minnesota Statute, section 142E.09, subdivision 3**

Steps to complete the plan process:

#### Step One – Review the plan

Review this plan. Determine if there are changes compared to previous plans or if there are new policies or procedures. Involve other staff as needed.

#### Step Two – Draft the plan responses

Note these guidelines:

- Identify all optional policies; see question VIII.A.
- Do not answer questions by stating that the reviewer should refer to a previous plan.
- Submit all agency-developed documents; see question VIII.B.
- Answer each question. Incomplete plans will be returned.

#### Step Three – Inform and involve community partners

**DCYF encourages counties and Tribes to develop optional policies in coordination with local partners.**

This may include: parents, child care providers, culturally specific service organizations, Child Care Aware agencies, interagency early intervention committees, and agencies involved in the provision of care and education to young children. Consult with other agency staff such as fraud investigators and income maintenance and employment services staff.

#### Step Four – Share the draft plan

Prior to submission, you must make copies of the proposed plan available to the public and allow sufficient time for public review and comment. See question II.D of this plan; describe methods used to make the plan available to the public, particularly to those members listed in II.D.

#### Step Five – Submit the plan by the deadline (Friday, September 19, 2025)

#### Amendments to plans

A county or Tribe may amend their Child Care Fund Plan at any time. If approved by the commissioner, the amendment is effective on the date requested by the agency unless a different effective date is set by the commissioner. Plan amendments must be approved or denied by the commissioner within 60 days after receipt of the amendment request. The department reserves the right to direct a county or Tribe to amend its Child Care Fund Plan if the plan is no longer in compliance with Minnesota Statutes, Minnesota Rules, or federal law.

**Minnesota Rules, part 3400.0150, subpart 3**

Amendments include changes in contacts, optional policies, new or revised forms and notices. Amendments can be sent in letter form or by email to the agency's child care assistance policy specialist.

Return completed plans by **Friday, September 19, 2025** to:

[DCYF.CCAP@state.mn.us](mailto:DCYF.CCAP@state.mn.us)

# Administration of the Child Care Assistance Program

## I. Child Care Assistance Program contacts

### A. County or Tribal agency

COUNTY OR TRIBE NAME Meeker	GENERAL PHONE NUMBER 320-693-5300	EXTENSION	GENERAL FAX NUMBER 320-693-5344
AGENCY'S FULL NAME Meeker County Health and Human Services		CCAP INTAKE PHONE NUMBER 320-693-5300	EXTENSION
MAIN OFFICE STREET ADDRESS 114 N Holcombe Ave, Suite 180		CITY Litchfield	ZIP CODE 55355
MAIN OFFICE MAILING ADDRESS (if different)		CITY	ZIP CODE

### B. County or Tribal branch office (if applicable)

BRANCH NAME	GENERAL PHONE NUMBER	EXTENSION	GENERAL FAX NUMBER	CCAP INTAKE PHONE NUMBER	EXTENSION
ADDRESS OF BRANCH OFFICE		CITY		ZIP CODE	

### C. Agency contact people

This contact information is required.

#### 1. County or Tribal director

FIRST NAME Tina		LAST NAME Schenk			
PHONE NUMBER 320-693-5340	EXTENSION	EMAIL ADDRESS tina.schenk@co.meeker.mn.us			
ADDRESS 114 N Holcombe Ave, Suite 180		CITY Litchfield		ZIP CODE 55355	

#### 2. County or Tribal CCAP administrative contact

Who is your lead contact for the Child Care Assistance Program? This contact will receive policy bulletins, memos, and other high level communications. You may have more than one contact.

FIRST NAME Mallie		LAST NAME Dowdell			
TITLE Financial Assistance Supervisor			PHONE NUMBER 320-693-5325	EXTENSION	
EMAIL ADDRESS mallie.dowdell@co.meeker.mn.us		SIR EMAIL ADDRESS x147453@cty.dhs.state.mn.us			

### 3. County or Tribal client access contact

Who is your lead contact person who has contact with families receiving CCAP? You may have more than one contact.

FIRST NAME Rebecca	LAST NAME Ziegler		
TITLE Eligibility Worker		PHONE NUMBER 320-693-4653	EXTENSION
EMAIL ADDRESS rebecca.ziegler@co.meeker.mn.us	SIR EMAIL ADDRESS x147568@cty.dhs.state.mn.us		

### 4. Management of waiting list contact

Who is your waiting list contact person? Only identify one contact.

FIRST NAME Mallie	LAST NAME Dowdell		
TITLE Financial Assistance Supervisor		PHONE NUMBER 320-693-5325	EXTENSION
EMAIL ADDRESS mallie.dowdell@co.meeker.mn.us	SIR EMAIL ADDRESS x147453@cty.dhs.state.mn.us		

### 5. Provider billing contact

Who is your billing contact person for questions about billing and payments? Only identify one contact.

FIRST NAME Charlene	LAST NAME Dale		
TITLE Fiscal Supervisor		PHONE NUMBER 320-693-5327	EXTENSION
EMAIL ADDRESS charlene.dale@co.meeker.mn.us	SIR EMAIL ADDRESS x147CTD@cty.dhs.state.mn.us		

### 6. Data Integrity Contact

Who is the contact person for coordination of corrections to MEC<sup>2</sup> case data? For example, primary/secondary provider designation corrections and ongoing case reporting (overrides, accuracy reviews, etc.). You must provide a SIR email address. Only provide one contact.

FIRST NAME Mallie	LAST NAME Dowdell		
TITLE Financial Assistance Supervisor		PHONE NUMBER 320-693-5325	EXTENSION
EMAIL ADDRESS mallie.dowdell@co.meeker.mn.us	SIR EMAIL ADDRESS x147453@cty.dhs.state.mn.us		

## 7. Legal nonlicensed provider monitoring contact

Who is the contact person for questions about legal nonlicensed annual monitoring visits? Only provide one contact.

FIRST NAME Jenny	LAST NAME Trelstad		
TITLE Human Services Professional		PHONE NUMBER 320-693-5341	EXTENSION
EMAIL ADDRESS jenny.trelstad@co.meeker.mn.us		SIR EMAIL ADDRESS x147032@cty.dhs.state.mn.us	

## 8. Case Review Error Findings Contact

Who is the contact person that should receive results of case reviews? This includes letters explaining errors and correct certificates when no errors exist. You must provide a SIR email address. You may have more than one contact.

FIRST NAME Mallie	LAST NAME Dowdell		
TITLE Financial Assistance Supervisor		PHONE NUMBER 320-693-5325	EXTENSION
EMAIL ADDRESS mallie.dowdell@co.meeker.mn.us		SIR EMAIL ADDRESS x147453@cty.dhs.state.mn.us	

## D. Subcontracted services

Counties and Tribes may contract with an agency to administer all or part of their Child Care Assistance Program.

**Minnesota Rules, part  
3400.0140, subpart 7**

If you are planning any changes in the administration of your CCAP, tell your CCAP policy specialist immediately. This could involve subcontracting or mergers of counties. Failing to notify DCYF may delay the changes that you are planning to make.

Does your county or Tribe contract with an agency for any part of the administration of CCAP?  Yes  No

Do not include cooperative agreements with employment and training service providers that work with MFIP/DWP families to develop and approve the employment service plan.

## II. Collaboration and outreach

**A.** How do you share information about the Child Care Assistance Program so that individuals, child care providers, social service agencies, etc. are aware of child care assistance? ([Minnesota Rules, part 3400.0140, subpart 2](#))

Brochures/information is made available in our Health and Human Services office, WIC office, Food Shelf, Workforce Center, area libraries, and day care centers.  
Signs are posted in various locations around the county including the Meeker County Government Center.  
Applicants for family cash programs receive information brochures and an application for the Child Care Assistance Program at either their intake interview or during the program orientation. Central Minnesota Jobs and Training, our career center provider, discusses the need for child care and available options during the person's intake evaluation for an employment plan and ongoing case management as appropriate.  
The Meeker County Health and Human Services website provides information pertaining to CCAP.  
The Meeker County Resource booklet given out by the Chamber of Commerce and available online provides information on county child care resources and referrals. The quarterly Litchfield Community Education course catalog includes information on the CCAP program.  
United Community Action Partnership (UCAP), the host agency for the local HeadStart program, is a major information provider and source of referral.

**B.** Agencies are required to work with other public and private community resources that provide services to families to maximize community resources for families with young children. These include, but are not limited to, Child Care Aware, School Districts, Early Learning Scholarships, Head Start, and Early Childhood Screening. List the community programs your agency works with. ([Minnesota Statute, section 142E.09, subdivision 3 \(1\)](#))

In addition to Child Care Aware, School Readiness, Early Learning Scholarships, Head Start, and Early Childhood Screening, the agency works with Central Minnesota Jobs and Training (Employment Services/Workforce provider), Public Health Nursing, ECFE, Interagency Early Intervention Committee (IEIC), Help me Grow, United Community Action Partnership (UCAP), and the Nurse Family Partnership to maximize community resources for families with young children.

**C.** How do you work with the community resources above to maximize public and private community resources for families with young children? Include the methods used to share information, responsibility, and accountability among these community resources. For example, partnering with Community Action agencies and local Head Start to help families access early childhood services and economic resources.

Meeker County Health and Human Services provides direct information and referrals to public and private resources as appropriate when working with persons accessing or being screened for agency services. Agency Social Worker staff will take clients directly to, or assist them in calling or otherwise contacting, appropriate community based programs and service providers. Written information on the CCAP program is available through many community agencies that provide services to young children, such as the Meeker County Resource booklet, Litchfield Community Education/ECFE, United Community Action Partnership, HeadStart, and area food shelves. As Meeker County is comprised of multiple small communities, our agency is in frequent contact with various community agencies, schools, and service providers with whom we collaborate to provide needed services, including affordable child care, to families accessing our services. If we become aware of a community which appears to be underserved by the program, Meeker County Health and Human Services staff will identify and utilize appropriate outreach partners, materials, and resources targeted to that community to increase their knowledge of and access to CCAP services. Our social workers, Early Childhood educators, Public Health nurses, day care providers, and other helping professionals are key information conduits between clients/potential clients and the CCAP program; as such, we strive to keep them aware of program availability and to do outreach, within the boundaries of privacy requirements, through them.

**D.** Copies of the proposed plan must be made available to the public, including parents, child care providers, culturally specific service organizations, Child Care Aware of Minnesota agencies, interagency early intervention committees, potential collaborative partners and agencies involved in the provision of care and education to young children. You must allow time for public review and comment prior to submitting this plan to DCYF for approval. ([Minnesota Statute, section 142E.09, subdivision 3 \(2\)](#)).

**1.** Describe how you make copies of the **draft plan** available to the public, including how you plan to notify the public about the existence of this draft and ways the public can provide comment.

The draft 2026-2027 Meeker County Child Care Assistance Plan is posted to the county website. A printed copy of the Child Care Assistance Program Plan is made available to members of the general public upon request.

**2.** When was your draft plan available for public review?

We placed the draft plan on the Meeker County Health and Human Services website on August 9, 2025. We will leave it on the website, marked as a draft plan available for review and comment for a full 30-days. The final plan will be posted to our county website.

**E.** After your plan is approved by DCYF, do you post your approved plan on your website?  Yes  No

# III. Eligibility

## A. Education plans outside an Employment Plan

Prior to completing this section, review [Minnesota Rules, part 3400.0040](#) and [Minnesota Statutes 142E.12 Subdivision 3](#) to ensure your policies are in compliance. Identify agency developed documents used for education plan requests and notices used to communicate approval or denial in each response and list these in the agency developed document section VIII.B.

### 1. High school diploma/GED high school equivalency diploma

1a. Do you approve all high school and GED programs?  Yes  No

### 2. Remedial and basic skills courses (includes Adult Basic Education and English as a Second Language)

2a. Do you approve all remedial and basic skills courses?  Yes  No

### 3. Post-secondary programs

3a. Do you approve all post-secondary programs (including associate degrees, bachelor degrees, certificate programs and technical degrees)?

Yes  No

If no, what program(s) would you deny? Identify how you communicate the denial to the family; if an agency developed notice is used, list in section VIII.B.

Agency would deny programs that do not result in an increase of income compared to what the client is already making upon program completion. The agency would deny additional bachelor's degree programs if the client already has a bachelor's degree unless it is for continuing education or coursework to update credentials to obtain or retain employment. The family is informed of the decision through the official MEC2 application denial notice. The CCAP worker will also reach out to the family via their preferred contact method (phone, email) to inform them of the county's decision.

3b. Explain why you would deny a program. Include data and facts to support why students should not receive CCAP while attending.

A course of study must lead to a reasonably anticipated increase in income over what the client is currently capable of earning. There must also be a reasonable anticipation that a client can find employment based on their completion of a particular course of study and the availability of jobs in the area requiring that course of study. Determination would be made based on the information provided by the student and the applicant's education counselor, as the counselor is best informed of current placement rates, required coursework, and works with students to ensure that they are meeting their educational goals. If the course of study does not support increased income/employment opportunities the the agency would deny that education plan as an approved activity for CCAP purposes.

3c. Describe your criteria and procedures for approving a post-secondary program outside an Employment Plan.

Client must complete the County's 'Education Plan' form. The Education Plan asks for name, date, school, address, course of study, length of course, begin date, anticipated completion date, placement rates for this course, gainful employment as of what date, entrance level salary, previous post secondary education (list institutions, programs, and dates), was a degree received, are they currently working, name of current employer, rate of pay, hours scheduled for work, will they be working while attending school, if so how many hours per week will they be working, a list of client responsibilities. Client and school both review, sign, and date the form.

### 4. How do you confirm satisfactory progress as determined by the institution at redetermination?

- Institution confirms the student is making satisfactory progress.
- Student remains enrolled in program.

## B. Basic Sliding Fee Waiting List management

### 1. Priorities for service

Have you established sub-priorities for the third priority Basic Sliding Fee Waiting List?

- Yes  No

Identify the additional priorities and rationale for determining those additional priorities. ([Minnesota Rules, part 3400.0140, subpart 10](#))

Priority is given to single parent households because these individuals are the sole potential earners for their families. The next priority is given to two-parent households to enhance their ability to earn additional money and become self-sufficient regardless of their educational levels. Next priority is given to post-secondary education, also to enhance their ability to earn a higher income and become self-sufficient through additional education.

### 2. How does your agency do a preliminary determination before adding families to the waiting list?

- Verbally collect family size, income, and type of eligible activity
- Family size, income and type of eligible activity collected from the application
- Agency form used to collect family size, income and type of eligible activity (list in section VIII.B)
- Other

### 3. When adding a family to your Basic Sliding Fee Waiting List, you must inform the family of the priority group determination, and the number of families on the waiting list or an estimated time that they will spend on the waiting list before reaching the top. (CCAP Policy Manual, Chapter 4.3.12.12)

How do you notify a family they were placed on the waiting list?

- The family is sent [DHS-7883A \(You have been placed on the Child Care Assistance Program \(CCAP\) waiting list\)](#)
- The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)

### 4. Six month review of Basic Sliding Fee Waiting List

Minnesota Statute, section  
142E.04, subdivision 2

**4a.** You must review and update your waiting list at least every six months. How are families notified of this six month review?

- The family is sent [DHS-7883B \(Child Care Assistance Program \(CCAP\) waiting list update\)](#)
- The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)

**4b.** Describe your agency's process for reviewing and updating the waiting list. If your agency does not currently have a waiting list, describe your process in the event your agency does start a waiting list.

Meeker County does not currently have a waiting list. In the event that Meeker County were to start a waiting list, we would use the families' responses to the DHS-7883B in conjunction with other case information known to the agency to ensure that they are in the proper tier of the wait list.

**4c.** How are families notified they are removed from the waiting list for not responding to the six month review?

- Families are sent an additional notice
- The family is sent [DHS-7883D \(You will be removed from the Child Care Assistance Program \(CCAP\) waiting list\)](#)
- The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)
- Six month review letter includes notification they will be removed from the waiting list if they don't respond

## 5. Applications mailed to families on the Basic Sliding Fee Waiting List

Applications must be sent to families on the waiting list when there is funding available for Basic Sliding Fee.

### 5a. When do you remove the family from the waiting list?

- When the application is sent to the family. The notice sent with the application informs the family that their name has been removed from the waiting list.
- When you receive the completed application. If no application is received, the family is removed at the end of the time period allowed for returning the application. The notice sent with the application informs the family that their name will be removed from the waiting list if the application is not received by the deadline.

### 5b. How do you notify a family that their name was removed from the waiting list?

- The family is sent [DHS-7883C \(Child Care Assistance Program \(CCAP\) funds available\)](#)
- The family is sent a notice developed by our agency (list this notice in section VIII.B Agency developed documents)

## 6. Temporarily ineligible families on the Basic Sliding Fee Waiting List

When a family reaches the top of the waiting list and is temporarily ineligible, leave the family at the top of the waiting list for 90 days, according to priority group and serve the applicant who is next on the waiting list.

Minnesota Rules, part  
3400.0040, subpart 17

Do you have an alternate procedure that extends the timeframe beyond 90 days?

- Yes
- No

## C. Child care for school release days

### 1. How do case workers authorize care for school release days in your agency?

- Authorize actual hours needed and increase or decrease hours based on known school release days.
- Authorize the hours care is needed when there are no school release days.
- Authorize the highest number of hours care is needed with the provider.
- Other method.

CCAP Policy Manual,  
Chapter 9.1.3

### 2. How do you communicate authorized hours for school release days to parents, providers and billing workers?

Parents/Caregivers receive a Service Authorization notice generated by MEC2 noting the number of hours child care is authorized for their activity. Providers receive billing forms listing the number of authorized hours per child. Providers also receive the CCAP Provider Manual which instructs them on what hours they are allowed to bill for. No comments are added to the Service Authorization that pertain to specific activity or schedule details.

## D. Child care for families with flexible schedules

### 1. How do case workers authorize care for families with flexible schedules in your agency?

- Authorize the typical number of hours needed and when the schedule requires additional care, the provider bills for the additional care.
- Authorize the minimum number of hours care is needed and when the schedule requires additional care, the provider bills for the additional care. Payment is made by increasing the number of hours listed in the "total hours of care authorized" field on the billing window or by creating a new Service Authorization.
- Authorize the highest number of hours care is needed with the provider. The provider is expected to bill only for the time that care is needed.
- Other method.

CCAP Policy Manual,  
Chapter 9.1.6

### 2. How do you communicate scheduled and authorized hours to parents, providers and billing workers?

Parents/Caregivers receive a Service Authorization notice generated by MEC2 noting the number of hours child care is authorized for their activity. Providers receive billing forms listing the number of authorized hours per child. Providers also receive the CCAP Provider Manual which instructs them on what hours they are allowed to bill for. No

comments are added to the Service Authorization that pertain to specific activity or schedule details.

## E. Authorizing care for clients with Employment Plans

Job counselors and CCAP workers must communicate child care needs for clients with Employment Plans. Guidance is found in [CCAP Policy Manual, Chapter 9.1.5](#).

1. CCAP workers must obtain an activity schedule prior to authorizing care. Who is responsible for obtaining the schedule information from the client?

- Job counselor provides schedule or days and times that child care is needed to CCAP worker.
- CCAP worker obtains schedule from client.
- Other method.

How do CCAP workers receive schedule information for Employment Plan activities?

The employment services counselor emails a copy of the family's CCAP employment plan to the CCAP worker with the activity, hours needed, and schedule if applicable.

2. How do you communicate required information between job counselors and CCAP workers (email, fax, case notes, verbal, DHS-7054, etc.)?

The employment services counselor and agency CCAP workers regularly communicate via the MFIP/DWP Status Update Form DHS-3165, via email, and verbally.

## F. Extending redetermination dates beyond 12 months

Redeterminations may be extended beyond 12 months for a family that has a caregiver under the age of twenty-one, who does not have a high school or general equivalency diploma (GED), and is a student in a school district or another similar program that provides or arranges child care, parenting, social services, career and employment supports and academic support to achieve high school graduation.

An agency may identify other reasons to extend redetermination dates beyond 12 months. For example, an agency may extend redetermination dates to balance out a workload. See [CCAP Policy Manual, Chapter 10.3](#) and [Minnesota Rules, part 3400.0180, subpart 1](#).

1. Does your agency extend redetermination dates beyond 12 months?

- Yes
- No

# IV. Policies applicable to legal nonlicensed providers

## A. Annual monitoring and training

Any legal nonlicensed provider with an open Service Authorization for a child who is not related to them must complete Supervising for Safety training within 90 days of the authorization start date and have an annual monitoring visit. See [CCAP Policy Manual Chapter 11.9](#) and Minnesota Rules, part [3400.0020, subpart 37a](#) and [3400.0120, subparts 6 and 9](#).

1. How does your agency track legal nonlicensed providers who have an open Service Authorization for unrelated children?

- All legal nonlicensed providers are tracked on a spreadsheet. Spreadsheet includes date that unrelated child Service Authorization began, due date for Supervising for Safety training, and due date of annual monitoring visit. Spreadsheet is checked every month to determine if training or an annual monitoring visit is due.

Other

Visit date is aligned with the provider's recertification/anniversary dates.

2. What are your agency's internal processes and procedures for completing annual monitoring visits?

- Agency contacts the provider at least 30 days prior to the date the annual monitoring visit is due. Agency schedules a time to visit. Agency visits the provider and reviews the [Legal Nonlicensed Provider Monitoring Checklist \(DHS-7867\)](#) with the provider. Agency submits the [Monitoring Visit Summary \(DHS-7867A\)](#) to DCYF within 10 days of the visit and notify DCYF if the provider fails any items.

Other

**Note:** See [CCAP Policy Manual 11.9.18](#) for the process that agencies must follow when a provider does not demonstrate full compliance with the health and safety policies at the monitoring visit.

## B. Complaints and incidents

### 1. Records of substantiated parental complaints

Within 24 hours of receiving a complaint concerning the health or safety of children under the care of a legal nonlicensed (LNL) provider, an agency must relay the complaint to the agency's child protection agency, county public health agency, local law enforcement, and/or other agencies with jurisdiction to investigate complaints.

Information regarding substantiated complaints must be released following applicable data privacy laws. See [Minnesota Statutes Chapter 13](#). When a report is substantiated, see [Minnesota Rules, part 3400.0140, subpart 6](#), for record retention and provider payment policies.

When complaints are substantiated how do you:

1a. Maintain these records?

When a complaint is received it is logged on the complaint log. Upon notice that the complaint was substantiated the disposition is recorded on the log.

1b. Make this information available to the public when requested?

Information is made available upon request and provided as required under MN Statues, chapter 13.

### 2. Aggregate reporting of incidents

At least quarterly, agencies must report to the Minnesota Department of Children, Youth, and Families the aggregate number of deaths, serious injuries, and substantiated maltreatment incidents for children under the care of legal nonlicensed (LNL) providers. See [Minnesota Rules, part 3400.0140, subpart 14](#).

2a. How will you record and maintain accurate counts of incidents that occur in legal nonlicensed settings registered by your agency?

LNL social worker receives reports, logs the information, and investigates incidents. They share this information with the CCAP administrative contact who reports quarterly to DCYF as requested.

## V. Higher rates for providers serving certain populations

Higher rates, above the standard maximum rates, can be paid to providers if approved by the commissioner (up to the provider's charge).

Minnesota Statute,  
section 142E.17,  
subdivision 3

Minnesota Rules,  
part 3400.0130,  
subpart 3 and 3b

CCAP Policy  
Manual,  
Chapter 9.54

### A. Higher rates for providers caring for children in at-risk populations

You may pay higher rates for providers caring for certain populations defined as at-risk in this plan. At-risk means environmental or familial factors exist that may create barriers to a child's optimal achievement such as a federal or state disaster, limited English proficiency in a family, history of abuse or neglect, a determination that the children are at risk of abuse or neglect, family violence, homelessness, age of the mother, level of maternal education, mental illness, development disability, parental chemical dependency or history of other substance use.

1. Do you pay a higher rate for providers caring for children in at-risk populations?  Yes  No

If this information changes, you must notify DCYF and request an amendment to your plan.

## VI. Payment policies

### A. Payment to two providers when a child is sick

When a child is sick and being cared for by a second provider, do you pay both the regular provider that charges an absent day and the second provider that is caring for the child?

Yes  No

Minnesota Statutes,  
section 3400.0110,  
subpart 8

**Note:** If the rate paid for care of sick children exceeds maximum rates, the "rates for care of sick children" must be included in section VIII.A. Additional Agency Optional Policies.

### B. Submission of invoices

MEC<sup>2</sup> PRO is standardized across the State for all providers. If a provider receives an authorization and a billing form for an eligible family, the provider must submit the billing form to the agency within 60 days of the last date of service on the billing form. If the provider shows good cause for the delay you may pay bills submitted after 60 days.

**Note:** Good cause includes agency error; bills submitted late due to agency error can be submitted for one full year from the last date of service on the billing form.

Minnesota Statute, section  
142E.17, subdivision 9

1. What criteria, other than agency error, is included in your definition of good cause for submitting and paying a billing form after 60 days? Check all that apply.

- Change in provider staffing that results in submitting the bill late.
- Circumstances outside of provider's control (natural disaster, state of emergency, damage to care setting, mail delay).
- Other

Describe other criteria included in your definition of good cause.

Hospitalization or death in the family, delays in processing a background study, situations where the client failed to notify the agency of a change with their provider.

2. For each criteria under question 1, how many days late would you allow a provider to submit bills for payment (must be between 60 days and 1 year from the last date of service on the billing form)?

The agency would allow for an additional 30 days (total 90 days) for the provider to submit bills for payment.

3. Do you require the parent signature on paper billing forms?  Yes  No

**3a.** When is a parent signature not needed on a paper billing form?

The parent signature would not be required in situations where an extended emergency situation or illness prevents the caregiver from being present for signature. The CCAP worker would confirm the circumstances through family and provider communications.

**C. Underpayments**

1. If you have underpaid according to Child Care Assistance Program policies, do you make corrective payments?

- Yes  No

2. Under what circumstances do you make corrective payments? Check all that apply.

- Agency Errors: Corrective payments are made for one year after the last date of service on the billing form.
- Provider Corrections: Corrective payments are made for 90 days after the original bill was paid.
- Family Changes: Corrective payments are made retroactively to the date of the change, not to exceed 90 days from the date the change became known to the agency.
- Other

**D. Absent day policy**

The Child Care Assistance Program limits the number of paid absent days. Payment may exceed absent day limit if at least one parent in the family:

Minnesota Statute,  
section 142E.17,  
subdivision 10

- Is under the age of 21; and
- Does not have a high school or general equivalency diploma; and
- Is a student in a school district or another similar program that provides or arranges for child care, parenting support, social services, career and employment supports, and academic support to achieve high school graduation.

1. Do you allow payment to exceed the absent day limit for children authorized with providers that meet these requirements?

- Yes  No

**VII. Program integrity**

**A.** Agency case management reviews can be used to determine causes of errors and identify specific policies needing review.

1. Do you conduct case management reviews of CCAP?  Yes  No

If yes, describe the process, including:

- How cases are selected,
- Which staff complete the reviews,
- What forms are used (DHS-5312D is available. If a different form is used, please list form(s) in Section X.B. Agency developed documents and submit with plan),
- How errors are resolved, and
- How staff are informed of correct policy.

Cases for review are randomly selected from active caseload lists by the Financial Assistance Supervisor and quarterly requests from DCYF. Criteria looked at is based on the DHS Case Management Review form (DHS-5312D). The CCAP worker is notified of any errors if found and asked to correct them. Targeted reviews may be performed if a pattern of errors is identified.

## VIII. Other information

### A. Additional agency optional policies

Do you have any other policies that apply to the Child Care Assistance Program which are not specifically required by state or federal rule or law? ([Minnesota Rules, part 3400.0140, subpart 1](#)) ([Minnesota Rules, part 3400.0150, subpart 2](#))

If they are attending post-secondary school the client must apply for Post-Secondary Child Care Grant as part of their financial aid package.

### B. Agency developed documents

- All agency developed forms and notices used for the Child Care Assistance Program must reflect current policy and be approved by DCYF.
- Counties and Tribes must use documents developed by DHS/DCYF for administration of child care assistance.
- Agency developed documents must not duplicate or replace DHS/DCYF documents.
- Local agencies may create supplemental documents subject to DCYF approval.
- Documents must be written using plain language standards and meet other communication guidelines.
- Review forms, notices and documents at least every two years to ensure they reflect current child care assistance policy and laws.

#### Document inventory for your agency

Use this table to list all agency developed forms, notices, and documents your agency uses to administer child care assistance. List all documents in the table and submit all forms, notices or written documents including those previously approved.

**Note:** Refer to the DCYF memo announcing this plan for a list of DHS/DCYF created documents required for the Child Care Assistance Program. Do not list or submit DHS/DCYF created documents.

Name of agency developed document	Document reflects current CCAP policy	Status of current document
Family Closing Notice (CTY-40)	<input checked="" type="checkbox"/> Agency assures compliance	<input checked="" type="checkbox"/> DHS/DCYF previously approved - no changes <input type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document
Meeker County Child Care Assistance Education Plan (CTY-22)	<input checked="" type="checkbox"/> Agency assures compliance	<input type="checkbox"/> DHS/DCYF previously approved - no changes <input checked="" type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document
Child Care Schedule (CTY-20)	<input checked="" type="checkbox"/> Agency assures compliance	<input checked="" type="checkbox"/> DHS/DCYF previously approved - no changes <input type="checkbox"/> DHS/DCYF previously approved - revised <input type="checkbox"/> New document

## IX. County and Tribal assurances

Check the designated boxes below to assure compliance.

### A. Child Care Assistance Program (CCAP) Family Information

The county or Tribe is informing parents about the following as required under [Minnesota Rules, part 3400.0035, subpart 1 and subpart 2](#).

- The documentation necessary to confirm eligibility for CCAP
- Waiting list information
- Application procedures
- The family's responsibility to report changes that affect their eligibility.

**County or Tribe assures compliance**

The agency uses the following:

"[Parent Acknowledgement When Choosing a Legal Nonlicensed Provider](#)" (DHS-5367) which assures compliance with the following:

- Families rights and responsibilities when choosing a provider

"[Paying for child care and more](#)" (DHS-3551) which assures compliance with providing the following information:

- Federal and state child and dependent care tax credits
- Earned income and working family tax credits
- Other programs and services for families through Help Me Connect
- Child Care Assistance Program eligibility requirements
- Information about how to choose a provider
- Availability of special needs rates

**County or Tribe assures compliance and uses DHS-5367 and DHS-3551**

### B. Child Care Assistance Program (CCAP) Tasks and Timeframes

The county or Tribe must perform tasks and meet timeframes required to administer the Child Care Assistance Program. These tasks include, but are not limited to:

- Assessing CCAP eligibility
- Processing payments

These tasks and timeframes are required under the Child Care and Development Fund (CCDF), 98.11(a)(3) Administration under Contracts and Agreements, Minnesota Statutes 119B, Minnesota Rules 3400, CCAP Policy Manual, and MEC<sup>2</sup> User Guide.

**County or Tribe assures compliance**

### C. Child Care Assistance Program (CCAP) Funding

The county or Tribe is reimbursed administrative dollars as outlined in Minnesota Statutes 142E.02, Subd. 9. In addition to receiving the Basic Sliding Fee allocation, the county or Tribe contributes a fixed local match as outlined in Minnesota Statutes 142E.14, Subd. 1.

The county or Tribe is provided a calendar year Basic Sliding Fee allocation based on Minnesota Statutes 142E.04, Subd. 6. When there is not sufficient funding to serve all eligible non-MFIP families, the county or Tribe manages the Basic Sliding Fee waiting list according to the priorities outlined in Minnesota Statutes 142E.04, Subd. 4.

**County or Tribe assures compliance**

### D. Child Care Assistance Program (CCAP) Reporting

[Minnesota Rules part 3400.0140, subpart 14](#)

The county or Tribe is required to submit timely financial, program activity, and provider reports to the Department of Children, Youth, and Families. The reports include, but are not limited to:

- Basic Sliding Fee waiting list
- Override monitoring
- Basic Sliding Fee adjustments

**County or Tribe assures compliance**

### E. Limited English Proficiency Plan

[Minnesota Rules part 3400.0150, subpart 2](#)

The county or Tribe has completed a Limited English Proficiency Plan, describing how it serves families with limited English Proficiency.

**County or Tribe assures compliance**

### F. Child Care Assistance Program (CCAP) Case Reviews

The county or Tribe ensures access to all needed documents for cases selected for case reviewed performed by the Department of Children, Youth, and Families. The county or Tribe ensure certification and submission of all required documents for the case review will be made by the Director or their delegate.

**County or Tribe assures compliance**